

Electronic Acknowledgement Receipt

EFS ID:	6961663
Application Number:	09917120
International Application Number:	
Confirmation Number:	2233
Title of Invention:	METHODS AND SYSTEMS FOR ASSISTING FINANCIAL SERVICES FIRMS AND THEIR REPRESENTATIVES
First Named Inventor/Applicant Name:	Scott Fergusson
Customer Number:	32361
Filer:	Barry J. Schindler/Christine Lucas
Filer Authorized By:	Barry J. Schindler
Attorney Docket Number:	104424.020500
Receipt Date:	05-FEB-2010
Filing Date:	27-JUL-2001
Time Stamp:	16:02:34
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$ 1810
RAM confirmation Number	9973
Deposit Account	501561
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		fergusson_fee.pdf	112810 d7559ad768feab9a101f11dbca47db720331e2a	yes	2
Multipart Description/PDF files in .zip description					
	Document Description		Start		End
	Miscellaneous Incoming Letter		1		1
	Issue Fee Payment (PTO-85B)		2		2
Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	fee-info.pdf	31993 42cac867858b8b1410b2aa71802d881b1c5be15d6a9	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			144803		
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.					
<u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.					
<u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.					
<u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.					